

ArcadiaGrant, P.O.Chandanwari, Premnagar, Dehradun, Uttarakhand-

248007,INDIA

Detailed Course Structure & Syllabus of

Pre Ph.D. (Management)

Course Work

(As per CBCS system) W.E.F Session 2022-23



Course Structure of the Pre-Ph.D (Management) Course Work: Applicable for Batch: 2022-23

SCHEME OF EXAMINATION Ph.D(Management) (Effective from Academic Year 2022-23)

Under Choice Based Credit System (CBCS)

| | Course Structure of the Pre-Ph.D (Management) Course Work: Applicable for Batch: 2022-23 | | | | | | | | | | |
|-------|---|---|---------|----|------|---|-------|-----------|-------|------|---------------|
| | Scheme of Pre-Ph.D. Course Work | | | | | | | | | | |
| | Evaluation – Scheme | | | | | | | | | | |
| S.No | Course | Subject | Credits | Р | erio | d | | Session | al | Exam | ination |
| | Code | , | | L | Т | Р | СТ- І | CT- II | Total | ESE | Sub. Total |
| Cours | es | | | | 1 | | | | | | |
| 1. | RM-101 | Research Methodology | 4 | 4 | 0 | 0 | 20 | 20 | 40 | 60 | 100 |
| 2. | RM-102 | Computer & Stats Application in Research | 2 | 2 | 0 | 0 | 20 | 20 | 40 | 60 | 100 |
| 3. | RPE-103 | Research & Publication Ethics | 2 | 2 | 0 | 0 | 20 | 20 | 40 | 60 | 100 |
| 4. | DSE-104 | Discipline Specific Electives | 3 | 3 | 0 | 0 | 20 | 20 | 40 | 60 | 100 |
| 5. | RS-105 | Seminar Presentation | 2 | 0 | 0 | 4 | 20 | 20 | 40 | 60 | 100 |
| | | Total | 13 | 11 | 0 | 4 | 100 | 100 | 200 | 300 | 500 |

List of Electives

| S. No. | Course Code | Course Name |
|--------|--------------------|----------------|
| 1 | HR-1 (104) | Human Resource |
| | | Management |
| 2 | FC-2 (104) | Finance |
| 3 | MT-3 (104) | Marketing |



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SYLLABUS

of

Ph.D(Management)



(Established vide Uttaranchal University Act, 2012) (Uttarakhand Act No. 11 of 2013)

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| Course Code Year/Sem Course Name Objectives of the Course: | Work RM-101 | Care d'it | | | | | |
|--|---|---|-------------------------------------|--|--|--|--|
| Year/Sem Course Name Objectives of the Course: | | | | | | | |
| Course Name Objectives of the Course: | 1 / 1 | Credit | 4 | | | | |
| Objectives of the Course: | | | | | | | |
| • | Research Methodol | logy | | | | | |
| To provide knowledge abor using Scientific Methods. UNIT I (Total Topics- 7 and Introduction to Research: Def exploratory, descriptive, exp analytical, empirical & fund Research Designs; Scientific research statement. UNIT II(Total Topics- 7 and Data Collection: sources, pri questionnaire Vs. schedules; Measurement and scaling: M Developing Measurement Too Designing Questionnaires and UNIT- III (Total Topics- 5 an Sampling, Sampling Methods, of Sample Survey, Census V Sampling, Sampling and Nor research analysis. UNIT-IV(Total Topics- 5 and F Interpretations and Report W Interpretation, Significance o in Writing Research Reports. CO1. Acquire in-depth knowled apply the acquired knowledge in CO2. Identify, formulate and knowledge and analyze relevan problems. CO3. Apply the acquired knowledge | Hrs-12) finition, Nature and sig erimental and diagnost damental research, Do c Research Process: C Hrs- 12) mary and secondary m Data Processing: Edit Measurement Scales, ols, Classification and ' Interviews. d Hrs- 10) , Sampling Plans, Samp /s Sample Enumeration h-Sampling Errors, Con Hrs- 10) friting: Meaning of Inte f Report Writing, Steps Limitations of RM: Eth lge of various fundame n carrying out research d critically investigated t data to reach certain co wledge and skills to dev something. on-parametric statistica | dology of Research. eparation of reports an gnificance, Role and tic research, social an ctrinal and non-doctr Overview, Problem ic nethods, significance of ing, Coding Organiza Sources of Errors in Testing (Reliability, V oling Error, Sampling I ns, Objectives and Princept of Permutation, rpretation, Techniques is in Report Writing, I nics in Research, Philo ntals, theories and prin studies in the area of it e research problems conclusions in the form velop minds to think of al tests to verify the o | nciples related to the research and | | | | |



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Reference Books

- 1. William G. Zikmund, "Business Research Methods", Orlando: Dryden Press.
- 2. C. William Emory and Cooper R. Donald, "Business Research Methods", Boston, Irwin.
- 3. Fred N Kerlinger, "Foundations of Behavioural Research", New Delhi: Surjeet Publications.
- 4. Naresh Malhotra, Marketing Research : An Applied Orientation, Pearson publication David Nachmias and
- ChavaNachmias, "Research Methods in the Social Sciences", New York: St.Marlia's Press.
- 5. Bhattacharya, D. K. (2004) Research Methodology, New Delhi, Excel Books.



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| Programme Name | Pre-Ph.D. Course | Programme Code | 23- | |
|----------------|--|----------------|-------|--|
| | Work | | | |
| Course Code | RM-102 | Credit | 2 | |
| Year/Sem | 1/1 | L-T-P | 2-0-0 | |
| Course Name | Computer & Stats Application in Research | | | |

Objectives of the Course:

- 1. To appraise computational skills for research application.
- 2. To assess statistical method for research analysis.

UNIT I

Characteristics of Computers, Evolution of computers, computer memory, computer generations, Basic computer organization; System software, Application software, introduction to operating system, single user, multi-tasking single tasking, application of computer for business and research, MS-windows, Linux .Application of Internet in research : INFLIBNET, Use of Internet, sights (DOAJ), Use of E Journals, Use of E library, use of EBSCO HOST online database of Academic Libraries. Subject/field specific tools on www.freeware.com

UNIT II

Computer Application in Research, Basic concept of Computer, Use of Internet for Research Purpose: E-mail, WWW, Web browsing, technical skills, drawing inferences from data, Research publishing tools-MS Word, Adobe acrobat, Graphics tool-MS Excel, Presentation tool-MS Power, Data Analysis Software and Analysis Techniques point. Creating presentation and adding effects, Introduction to Data analysis software-SPSS: Definition, objectives and features, data analysis using SPSS.

UNIT-III

Statistical methods for research application in analysis of data, Measurement in Research , data interpretation, Measures of Central Tendency, Measures of Dispersion, Measures of Asymmetry (Skewness), std deviation, Measures of Relationship, Simple Regression Analysis, Correlation and Regression, Partial Correlation.

UNIT-IV

Statistical Tools-Hypothesis and Hypothesis Testing: Parametric & Non-Parametric Tests, Important Parametric Tests, Hypothesis Testing of Correlation Coefficients, U Test, Chi Square Test, ,T-Test.Analysis of Variance (ANOVA), The Basic Principle of ANOVA, ANOVA Technique, Setting up Analysis of Variance Table, Short-cut Method for One-way ANOVA, Coding Method, Two-way ANOVA.

Course Outcomes:

CO1. Acquire knowledge of concept of computer with application in Research.

CO2. Apply acquired knowledge of computer for presentation skills.

CO3. Acquire knowledge of statistical methods for Research.

CO4. Apply acquired knowledge to describe the inductive nature of quantitative data analysis.



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Reference Books

1. C. R. Kothari, "Research Methodology: Methods and techniques", New Delhi: Vishwa Prakashan.

2. Brymann, Alan and Carmer, D. (1995) Qualitative data analysis for social scientist, New York, Routledge Publication.

3.Jain, Satish: "Introduction to Computer Science and basic Programming." BPB Publications, New Delhi, 1990. • Rajaraman, V., "Fundamental of Computers", Prentice Hall of India, New Delhi, 1996.



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| Programme Name | Pre-Ph.D. Course Work | Programme Code | 23- | | | | |
|---|---|--------------------------|------------------------------------|--|--|--|--|
| Course Code | RPE-103 | Credit | 2 | | | | |
| Year/Sem | 1/1 | L-T-P | 2-0-0 | | | | |
| Course Name | Course Name Research & Publication Ethics | | | | | | |
| Objectives of the Course: Its objectives to provide knowledge about ethics and code of research publication with concept of plagiarism. | | | | | | | |
| UNIT I (Total Topics- 2 and | | one concept humahas | | | | | |
| Introduction to philosophy: Ethics: definition, moral ph | | | | | | | |
| UNIT II(Total Topics- 5 and | | | | | | | |
| 1. Ethics with respect to scien | | | | | | | |
| 2. Intellectual honesty and res | earch integrity | | | | | | |
| 3. Scientific misconducts: Fal | | 0 () | | | | | |
| 4. Redundant publications: du | plicate and overlapping p | publications, salami sli | cing | | | | |
| 5. Selective reporting and mis | - | | | | | | |
| UNIT- III (Total Topics-7 and | | | | | | | |
| 1. Publication ethics: definition | = | | | | | | |
| 2. Best practices/ standards se | tting initiatives and guide | elines: COPE, WAME | ,etc. | | | | |
| 3. Conflicts of interest | C ^{•••} (11 | 4 41 14 41 | 11.1 | | | | |
| | | | al behaviour and vice versa, types | | | | |
| 5. Violation of publication eth | _ | - | | | | | |
| 6. Identification of publication | | and appeals | | | | | |
| 7. Predatory publishers and jo | | | | | | | |
| UNIT- IV (Total Topics-4an Practice | d Hrs-4) | | | | | | |
| | | | | | | | |
| Open Access Publishing 1. Open access publications a | nd initiatives | | | | | | |
| 1 1 | | er copyright & self-arc | hiving policies | | | | |
| | SHERPA/RoMEO online resource to check publisher copyright & self-archiving policies Software tool to identify predatory publications developed by SPPU | | | | | | |
| 4. Journal finder/ Journal suggestion tools viz. JANE, Elsevier Journal finder, Springer Journal Suggester, etc. | | | | | | | |
| CO1. Recognize the basics of philosophy of science & ethics, research integrity, publication ethics and | | | | | | | |
| theories of research ethics. | | | | | | | |
| - | CO2. Familiarize with important issues in research ethics, research integrity, scientific misconduct and | | | | | | |
| misinterpretation of data. | | | | | | | |
| CO3. Analyze the best practices for publications, publication ethics and identify the predatory publishers & journals. | | | | | | | |
| 5 | lagiarism software tools | s. open-source softwa | ure tools, citation databases and | | | | |
| con Demonstrate ceuse p | inglation boltware took | s, spen source sortwa | at 19915, charlon databases and | | | | |



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research metrics.

CO5. Publish credible & scholarly publications in reputed peer-reviewed journals.

References-

Research and Publication Ethics, Dr Sumanta Dutta, Bharti Publications,2021 Research and Publication Ethics, Dr Santosh kumar Yadav, Anne Publications,2020



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| Programme Name | Pre-Ph.D. Course | Programme Code | 23- | | |
|----------------|----------------------|----------------|-------|--|--|
| | Work | | | | |
| Course Code | RS- 105 | Credit | 2 | | |
| Year/Sem | 1/1 | L-T-P | 0-0-4 | | |
| Course Name | Seminar Presentation | | | | |

Objectives of the Course:

Main objective of this course is to develop presentation skills in the scholars and knowledge about review of literature so that they can review properly for utilisation in their research work.

Seminar Presentation-Candidate/Research Scholar has to go through the review of literature in the concerned field of research. Review of literature guidelines will be given by the concerned faculty/Dean of Department/School/College. Research Scholar has to prepare presentation on review of literature in the concerned field/ topic assigned by the department (DRC) periodically during course work. There will be minimum 3 presentations of review of literature during pre-Ph.D. course work.

- First presentation will be required in DRC/FRC for review of literature with concerned Department focus on area of research. It will be evaluated and assessment sheet will be sent from Department to Dean Research & Studies office.
- Similarly second presentation will be required by research scholar with extension of first presentation and more number of references would be added.

Internal & end term examination marks will be as per scheme. Each presentation is to be assessed by the department as per instructions from Dean-Research & Studies.

Final presentation would be required at the time of end term/sem. examination on proposed synopsis. General guidelines would be issued by Dean-Research for seminar presentation.

Course outcomes

CO1. Research Scholar would be able to develop & explore the review of literature in concerned area.

CO2. Analyze review of literature critically for finding the research gap.

CO3. Apply acquired knowledge in making systematic seminar presentations.

CO4. Apply acquired knowledge for improving development of all-round research.



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| Programme Name | Pre-Ph.D. Course Work | Programme Code | 23- | |
|---------------------------------------|-----------------------|----------------|-------|--|
| Course Code | HR-1 (104) | Credit | 3 | |
| Year/Sem | 1/1 | L-T-P | 3-0-0 | |
| Course Name Human Resource Management | | | | |

Objectives of the Course:

To enable the scholar to explore the concepts and techniques of HRM that will facilitate in opting appropriate topics for research and comprehend the theoretical background in the domain of research.

UNIT I (Total Topics - 05 and Hrs. - 10 hrs.)

Introduction to Human Resource Management: Evolution of HRM, Concept, Objectives and Function of HRM, Qualities and Roles of HR Manager, Emerging Challenges of Human Resource Management.

UNIT II (Total Topics - 09 and Hrs. - 12 hrs.)

Acquisition of Human Resource Management: Job Analysis: Job Description and Job Specification. Methods of job analysis, Human Resource Planning: Purpose and Process, Recruitment and Selection: Source of Recruitment, Stages in Selection Process and techniques, Training: Training Needs, Training Methods, Career Planning and Development, Succession Planning.

UNIT- III (Total Topics - 06 and Hrs. - 12 hrs.)

Performance Appraisal and Employee Mobility: Concept, objective and Process of Performance Appraisal, Techniques of Performance Appraisal, Performance Management, Balanced Scorecard, Competency Mapping. Mobility - Promotion, Transfer, Separation: Lay-Off, Retrenchment, Voluntary Retirement Scheme.

UNIT-IV (Total Topics - 06 and Hrs. - 12 hrs.)

Compensation Management: Concept, Importance, Components of Compensation, factors Influencing compensation level, Job evaluation and its Process; Fringe Benefits, Incentive and its types.

UNIT-V (Total Topics - 05 and Hrs. - 14 hrs.)

Industrial Relations: Concept and Significance of Industrial Relations. Three parties to IR, Trade Union concept objective its importance, Employees' Grievance redressal, Industrial Disputes Causes and Settlement machinery. Collective Bargaining.

Course outcomes

CO1. To comprehend the concepts and techniques of HRM within the field of research. **CO2**. To understand the need for HR research to uncover the solutions to the problem of HR.

CO3. Develop an analytical skill to carry out qualitative and quantitative research in the field



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of HR.

CO4. To analyze the current policies and practices through HR research. **CO5**. To apply new ideas, methods and ways of thinking in the field of research

Reference Books

- 1. Ivansevich. Human Resource Management, TMH.
- 2. Mathis, Robert L & Jackson, John H. Human Resource Management, South Western College Publishing.
- **3.** Muller Camen, & Croucher Leigh. *Human Resource Management: A case study approach*, Jaico Publishing House.
- 4. DeCenzo David & Robbins Stephen. Personnel/ Human Resource Management, Prentice Hall.

Text Books:

- 1. Aswathappa, K. Human Resource and Personnel Management, TMH.
- 2. Rao, VSP. Human Resource Management: Text and Cases, Excel Books.
- 3. Khanka S.S. Human Resource Management, S. Chand



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| Programme Name | Pre-Ph.D. Course Work | Programme Code | 23- |
|----------------|-----------------------|----------------|-------|
| Course Code | FC-2 (104) | Credit | 3 |
| Year/Sem | 1/1 | L-T-P | 3-0-0 |
| Course Name | Finance | | |

Objectives of the Course:

To acquaint the students with the empirical evidence on various issues in finance, to prepare the students apply various concepts and theories in finance and equip them to identify research gaps and develop a framework of research in finance. The emphasis must be on reading and understanding of seminal as well as applied research papers which have provided empirical evidence on various issues and puzzles in finance.

UNIT I (Total Topics - 8 and Hrs. - 15 hrs.)

Corporate Finance: - Capital Budgeting Decision, practice and empirical evidence. Corporate Financing Decision, practice and empirical evidence regarding packing order, signalling, asymmetric information, effect of taxes and emerging theories of capital structure. Dividend Decision- theories, policies, practice and empirical evidence regarding Signalling hypothesis, effect of asymmetric information etc., Factors affecting capital structure choice (Micro factors like Size, Profitability, Liquidity, Tangibility, Corporate Governance etc. and Macro factors like Tax rates, Economic policies, Capital market conditions etc.), Working Capital Management, Mergers & Acquisitions and other forms of corporate restructuring- research issues and empirical evidence.

UNIT II (Total Topics - 4 and Hrs. - 10 hrs.)

Financial System- Markets and Institutions: Financial system- Research issues and empirical evidence. Banking- Efficiency, regulation, crisis and empirical evidence. Securities Markets. Derivatives Market. Bond market: Research issues and empirical evidence.

UNIT- III (Total Topics - 5 and Hrs. – 15 hrs.)

Investment Management: Fundamental Analysis, Technical Analysis and Efficient Market Hypothesis- empirical evidence and research issues. Asset pricing- Capital Asset Pricing Model and Non-Standard forms of CAPM- testing methodologies and empirical evidence. Empirical evidence on various stock market anomalies (such as Size Effect, Value Effect, Prior Return Effect, Seasonality Effect etc.).

UNIT-IV (Total Topics: 4 and Hrs. – 10 hrs.)

Multinational Financial Management: Its organization, Opportunities and challenges of Multinational Corporations; Foreign Exchange Markets: Spot market, Forward market. Mechanism of foreign exchange management, Derivatives: forwards, futures, options, swaps.

UNIT-V (Total Topics - 7 and Hrs. – 10 hrs.)

Contemporary Issues in Finance: Contemporary issues in all areas of finance such as — Financial crisis management, volatility spill over across financial markets, financial market integration, real options, socially responsible investing (SRI), ethical investing, financial



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modelling etc). Research papers dealing with contemporary issues should be discussed.

Course outcomes

CO1. Acquire in depth understanding of the implications of the overarching strategic objectives of the organization for the finance function.

CO2. Understand the role and function of the financial system and awareness about the current structure and regulation of the Indian financial services sector.

CO3. Develop a broad knowledge and understanding of portfolio management and investment analysis.

CO4. Analyse, apply and evaluate information within the global financial environment of foreign exchange to solve problems and make informed decisions.

CO5. Examine relevant regulatory frameworks and ethical considerations applicable to contemporary issues in finance and analysis and communicate through research findings from the industry and academic evidence on some selected contemporary issue.

Reference Books

Text Books:

- 1. Dhmija Sanjay. Financial Accounting for Managers, Pearson.
- 2. Atkinson Anthony & Kaplan Robert. Management Accounting, Pearson.
- 3. Khan and Jain. Management Accounting, Tata McGraw Hill.
- 4. Pandey, I.M. Management Accounting, Vikas Publishing

Reference Books:

- 1. Maheshwari S.N & Maheshwari S K. A Text Book of Accounting for Management. Vikas.
- 2. Gupta Ambrish. Financial Accounting: A Managerial Perspective. Prentice Hall.
- **3.** Mukherjee. *Financial Accounting for Management*, TMH.



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| Programme Name | Pre-Ph.D. Course Work | Programme Code | 23- |
|----------------|-----------------------|----------------|-------|
| Course Code | MT-3 (104) | Credit | 3 |
| Year/Sem | 1/1 | L-T-P | 3-0-0 |
| Course Name | Marketing Management | | |

Objectives of the Course:

The objective of the course is to enable the scholars to gain the insights to marketing, consumer behaviour, service marketing and market research. To enable them to identify research problem by exploring emerging areas of research in marketing.

UNIT I Introduction to Marketing (Total Topics - 11 and Hrs. - 15 hrs.)

Introduction to Marketing, Core Marketing Concepts, Scope and Importance of Marketing, Marketing Vs Selling, Marketing Tasks and Approaches to Modern Marketing, Marketing Environment and Environment Scanning, Analyzing Market Environment, Marketing Challenges, Strategic Planning in Marketing Management, Marketing Planning Process, Marketing Mix - Product: New Product Development, Product Life Cycle, Product Mix decisions, Branding, Packaging, Labelling, Price: pricing and different methods of pricing, Place: Distribution, level of distribution, Promotion: promotion and promotional mix.

UNIT II Consumer Behaviour (Total Topics - 14 and Hrs. - 15 hrs.)

Nature, Importance and Scope of Consumer Behaviour, Types of Buying Behavior, Market Segmentation, Basis of Segmentation, Targeting & Positioning, Consumer Research, Consumer Decision-Making Process, Family Buying Behaviour, Post purchase behaviour, Consumer behaviour models, Consumer Motivation, Consumer Learning, Personality, Self-concept, Perception, Consumer Satisfaction

UNIT- III Marketing of Services (Total Topics - 08 and Hrs. - 10 hrs.)

Nature of Marketing of Services, Classification of services, Characteristics of services, Services v/s Physical Goods, Different types of service Attributes, Service Marketing Mix, Service product, 'People' Element in Services, Service Quality, Applications of Marketing of Services: Financial sector, IT, Tourism, Travel, Hospital, Education, Banking, Telecom, Transport

UNIT-IV Marketing Research (Total Topics - 08 and Hrs. - 10 hrs.)

Marketing Research: Need for market research, types of marketing research, stages of marketing research, Research Methods in Marketing – Quantitative and Qualitative Research in Marketing, Attitude Measurement and Scaling Techniques, Product Research, Test Marketing, Advertising Research, Media Research, Motivation Research.

UNIT-V Emerging issues in Marketing (Total Topics - 13 and Hrs. - 10 hrs.)

Green marketing, Rural and agricultural Marketing, Social Marketing, Network Marketing, Viral and Buzz Marketing, Cause Related Marketing, Event Marketing, Nucleus Marketing, Neuro-Marketing, International Marketing, Guerrilla marketing, Digital marketing, Holistic Marketing, Entrepreneurial Marketing



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CO1. Acquire in-depth knowledge of core marketing concepts, approaches to modern marketing & Marketing Mix and apply these concepts for new Product Development & Promotion.

CO2. Comprehend Consumer Research Process for effective Segmentation, Targeting & Positioning; Acquire knowledge of Consumer Decision Process, Pre and Post Purchase Behaviour & Models of Buying Behaviour; and apply critical thinking in purchase decision.

CO3. Identify various concept of service marketing, relate the role of people element in services delivery; analyze the key issues of service quality & suggest innovative ideas to meet customer expectations

CO4. Recognize the basic concepts, types & stages of marketing research and apply these research-oriented skills for effective marketing strategies.

CO5. Explore various emerging issues in marketing like green marketing, social marketing, neuro-marketing, international marketing and digital marketing etc. and discover their contemporary scenario around the globe.

Reference Books

- 1. Kotler, P. and Armstrong, G. (2015). Principles of Marketing. New Delhi: Pearson Education.
- 2. Saxena Rajan, Marketing Management, Tata McGraw-Hill Publishing Co. Ltd., 3rd Edition.
- 3. Schiffman L.G., Kanuk, L.L & Kumar, S.R. Consumer Behavior, Pearson.
- 4. Kazmi & Batra, Consumer Behaviour, Excel books
- 5. Srinivasan, R. Services Marketing, PHI Learning
- 6. Lovelock, C.HService Marketing, TMH
- 7. Naresh K. Malhotra, Essentials of Marketing Research, Pearson